

Chapter 9: Sequence Space and Shift Map

Def The set $\Sigma = \{ (s_0, s_1, s_2, \dots) : s_n = 0 \text{ or } 1 \text{ for all } n \geq 0 \}$ of all binary sequences is called the sequence space on 2 symbols.

Let $x = (s_0, s_1, \dots)$, $y = (t_0, t_1, \dots) \in \Sigma$. The distance between x and y is given by

$$d(x, y) = \sum_{i=0}^{\infty} \frac{|s_i - t_i|}{2^i}$$

Example Compute $d(x, y)$ when

① $x = (0, 0, \dots) = (\bar{0})$, $y = (1, 1, \dots) = (\bar{1})$.

② $x = (111\bar{0})$, $y = (\bar{1})$

③ $x = (1111\bar{0})$, $y = (\bar{1})$

① $d(x, y) = \sum_{i=0}^{\infty} \frac{1}{2^i} = \frac{1}{1 - \frac{1}{2}} = 2$

② $d(x, y) = \sum_{i=3}^{\infty} \frac{1}{2^i} = \frac{\frac{1}{2^3}}{1 - \frac{1}{2}} = \frac{1}{4}$

③ $d(x, y) = \sum_{i=4}^{\infty} \frac{1}{2^i} = \frac{\frac{1}{2^4}}{1 - \frac{1}{2}} = \frac{1}{8}$

The more they agree at the start, the closer two sequences are.

Theorem (Proximity Theorem)

Let $x = (s_0, s_1, \dots)$, $y = (t_0, t_1, \dots) \in \Sigma$ and $n \in \mathbb{N}$.

① if $s_i = t_i$ for all $i = 0, 1, \dots, n$, then $d(x, y) \leq \frac{1}{2^n}$

② if $d(x, y) < \frac{1}{2^n}$, then $s_i = t_i$ for all $i = 0, \dots, n$.

Proof

① Suppose $s_i = t_i$ for all $i = 0, \dots, n$. Then

$$\begin{aligned} d(x, y) &= \sum_{i=0}^{\infty} \frac{|s_i - t_i|}{2^i} \\ &= \sum_{i=n+1}^{\infty} \frac{|s_i - t_i|}{2^i} \\ &\leq \frac{1}{2^{n+1}} + \frac{1}{2^{n+2}} + \dots \\ &= \frac{\frac{1}{2^{n+1}}}{1 - \frac{1}{2}} \\ &= \frac{1}{2^n} \end{aligned}$$

② To prove a statement of the form $P \Rightarrow Q$ we can instead prove the equivalent contrapositive statement $\neg Q \Rightarrow \neg P$. The contrapositive here is

"if $s_j \neq t_j$ for some $j \in \{0, \dots, n\}$, then $d(x, y) \geq \frac{1}{2^n}$."

Suppose $s_j \neq t_j$ for some $j \in \{0, \dots, n\}$. Then

$$\begin{aligned}d(x, y) &= \sum_{i=0}^{\infty} \frac{|s_i - t_i|}{2^i} \\ &\geq \frac{|s_j - t_j|}{2^j} \\ &= \frac{1}{2^j} \\ &\geq \frac{1}{2^n}. \quad (\text{since } 2^j \leq 2^n \text{ since } j \leq n.)\end{aligned}$$

Def The shift map is the function $\sigma: \Sigma \rightarrow \Sigma$ given by $\sigma(s_0, s_1, s_2, \dots) = (s_1, s_2, \dots)$.

We can think of the shift map as defining a dynamical system whose phase space is the sequence space Σ .

Notes about shift map periodic points

- ① its fixed points are $(0, 0, 0, \dots) = (\bar{0})$ and $(1, 1, \dots) = (\bar{1})$.
- ② its prime period 2 points are $(\overline{01})$ and $(\overline{10})$.
- ③ it has 2^n period n points: $(\overline{x_1 x_2 \dots x_n})$
where $x_1, \dots, x_n \in \{0, 1\}$.

Def Let $F: \Sigma \rightarrow \Sigma$ be a given map. We say F is continuous at $x_0 \in \Sigma$ if for every $\varepsilon > 0$ there exists $\delta > 0$ such that: if $y \in \Sigma$ and $d(x, y) < \delta$ then $d(F(x), F(y)) < \varepsilon$.

Theorem The shift map σ is continuous at all $x_0 \in \Sigma$.

Proof Let $x_0 = (s_0, s_1, s_2, \dots) \in \Sigma$ and let $\varepsilon > 0$.

There exists some $n \in \mathbb{N}$ such that $\frac{1}{2^n} < \varepsilon$. Let $\delta = \frac{1}{2^{n+1}}$.

Suppose $y = (t_0, t_1, \dots) \in \Sigma$ such that $d(x_0, y) < \delta$.

Then by the Proximity Theorem $s_0 = t_0, \dots, s_n = t_n, s_{n+1} = t_{n+1}$.

Since $\sigma(x_0) = (s_1, s_2, \dots)$ and $\sigma(y) = (t_1, t_2, \dots)$,

these sequences agree in terms 0 to n (ie $\underbrace{s_1 = t_1, \dots, s_n = t_n}_{0\text{th}}$ $\underbrace{s_{n+1} = t_{n+1}}_{n\text{th term}}$)

By the Proximity Theorem, $d(\sigma(x_0), \sigma(y)) \leq \frac{1}{2^n} < \varepsilon$.

Problem 1. Let $x = (1, 1, 1, 1, 0, 0, \dots) = (1111\bar{0})$ and $y = (1, 1, 1, \dots) = (\bar{1})$.

- Find $d(x, y)$, $d(\sigma(x), \sigma(y))$, and $d(\sigma^4(x), \sigma^4(y))$.
- What is $d(\sigma^n(x), \sigma^n(y))$ for all $n \geq 5$?
- Complete the following statement, which is the moral of the story in this problem:
 x and y are close to each other, but ...

$$\textcircled{a} \quad d(x, y) = \sum_{n=0}^{\infty} \frac{|s_n - t_n|}{2^n} = \sum_{n=4}^{\infty} \frac{1}{2^n} = \frac{1/2^4}{1-1/2} = \frac{1}{8}$$

$$d(\sigma(x), \sigma(y)) = \sum_{n=3}^{\infty} \frac{1}{2^n} = \frac{1/2^3}{1-1/2} = \frac{1}{4}$$

$$d(\sigma^4(x), \sigma^4(y)) = \sum_{n=0}^{\infty} \frac{1}{2^n} = 2$$

$$\textcircled{b} \quad d(\sigma^n(x), \sigma^n(y)) = 2 \quad \text{for all } n \geq 5$$

\textcircled{c} x and y are close but $\sigma^n(x)$ and $\sigma^n(y)$ get far apart as n grows

Problem 2. Let $x = (\bar{0})$. Find an example $y \in \Sigma$ such that $d(x, y) < 1/2^5$ and $d(\sigma^7(x), \sigma^7(y)) = 2$.

By the Proximity Theorem if $d(x, y) < \frac{1}{2^5}$ then

$y_0 = x_0 = 0, \dots, y_5 = x_5 = 0$. Since

$$\sigma^7(y) = (y_7, y_8, \dots)$$

we need $y_n = 1$ for all $n \geq 7$ in order to have

$$d(\sigma^7(x), \sigma^7(y)) = 2$$

Any y of the form $(\underbrace{0, 0, 0, 0, 0, 0}_{0 \text{ to } 5}, \underbrace{y}_{\text{free}}, \underbrace{1, 1, \dots}_{7 \text{ onward}})$ works.

Problem 3. Repeat the previous problem except use $x = (\bar{01})$.

$$(\underbrace{0, 1, 0, 1, 0, 1, y}_{0 \text{ to } 5}, \underbrace{1, 0, 1, 0, \dots}_{7 \text{ onward}})$$